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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **Haruyoshi ONO et al.**

Group Art Unit: **2828**

Serial Number: **10/614,277**

Examiner: **Tod Thomas Van Roy**

Filed: **July 8, 2003**

Confirmation Number: **7735**

For: **OPTICAL COMMUNICATION MODULE AND WAVELENGTH  
LOCKER MODULE**

Attorney Docket Number: **030824**

Customer Number: **38834**

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 C.F.R. §1.97(c)(1)/(e)(1)**

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

March 8, 2006

Sir:

Applicants direct the attention of the Patent and Trademark Office to the documents listed on the attached Form PTO/SB/08. A copy of each non - U.S. document is attached.

This Information Disclosure Statement (IDS) is being submitted after issuance of a first official action on the merits and expiration of the three month period following the filing date or the entry of the national stage for the above-captioned application, but prior to issuance of either a final official action or a Notice of Allowance.

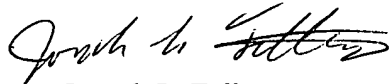
The undersigned hereby certifies that each item of information contained in the IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the IDS. A copy of the foreign communication citing the documents is attached.

Information Disclosure Statement under 1.97(c)(1)  
Attorney Docket No. 030824  
Serial No. 10/614,277

Each listed document was cited in a communication from a foreign patent office and the communication was not received by any person defined in 37 C.F.R. §1.56(c) more than 30 days before filing of this paper.

If there are any fees due in connection with the filing of this paper, please charge Deposit Account No. 50-2866.

Respectfully submitted,  
**WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP**



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Enclosures: PTO/SB/08  
Foreign Communication  
Document(s)



Combined Form PTO/SB/08A&B  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				Complete if Known	
				Application Number	10/614,277
				Confirmation Number	7735
				Filing Date	July 8, 2003
				First Named Inventor	Haruyoshi ONO et al.
				Art Unit	2828
				Examiner Name	Tod Thomas Van Roy
Sheet	1	of	1	Attorney Docket Number	030824

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>3</sup> (if known)		
		US			
		US			
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FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
	1	JP	2000-323785	A	11-24-2000	Sato	Yes
	2	JP	2001-36190	A	02-09-2001	Ackerman	Yes
	3	JP	9-298511		11-18-1997	Takatsu	Yes

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>
	4	JP 2002-200669 Office Action mailed 02-21-2006	No

Examiner Signature		Date Considered	
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